

DOCKET NO: 285995US26PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
KENICHI NISHIZAWA, ET AL. : EXAMINER: KEVIN A. PARENDO  
SERIAL NO: 10/567,733 :  
ALLOWED: SEPTEMBER 3, 2010 : GROUP ART UNIT: 2823  
FILED: FEBRUARY 10, 2006 :  
FOR: FLUORINE DOPED CARBON :  
FILMS PRODUCED BY MODIFICATION  
BY RADICALS

**PETITION UNDER 37 C.F.R. § 1.181(a)(3)**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicants herein petition the Commissioner to invoke his supervisory authority to compel the Examiner to consider all the Documents cited in the Information Disclosure Statement filed on February 10, 2006.

STATEMENT OF FACTS

The IDS, in conformity with the requirements of 37 C.F.R. §1.97-1.98, was originally filed on February 10, 2006, together with a Form PTO-1449, an International Search Report, a Statement of Relevancy, and references cited on the International Search Report and discussed in the specification of the present application. The above-referenced application has now been allowed. The PTO has not responded to this IDS filing by supplying Applicants with a copy of the Form PTO-1449 filed with this IDS, that has been properly initialed by the Examiner.

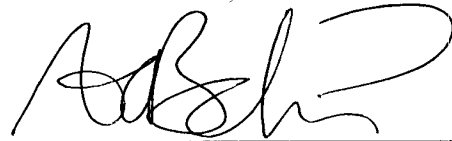
The attached copy of the date-stamped Filing Receipt is evidence of the filing of this IDS. For the Examiner's convenience, each copy of the IDS and the Form PTO-1449 are attached hereto. In light of the fact that the IDS was filed with the present application, a fee was not required for this IDS filing.

ACTION REQUESTED

37 C.F.R. §1.181(a)(3) allows petition to invoke the supervisory authority of the Commissioner in appropriate circumstances. In light of the above, it is respectfully requested that the Examiner be directed to consider the IDS filed on February 10, 2006 and to provide Applicants a copy of the Form PTO-1449 properly initialed as considered by the Examiner.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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Due Date February 15,  
2006

OSMM&N File No. 285995US26PCT

By SPW/lit/FF

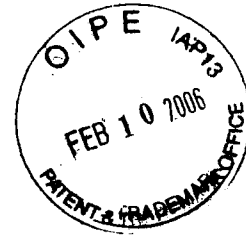
Serial No. New U.S. PCT Application based on PCT/JP04/10484

In the matter of the Application of Kohei KAWAMURA, et al.

For METHOD FOR FORMING FILM, METHOD FOR MANUFACTURING  
SEMICONDUCTOR DEVICE, SEMICONDUCTOR DEVICE AND  
SUBSTRATE TREATMENT SYSTEM

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 38 pgs. Specification 17 Claims (English Translation)
- Combined Declaration, Petition & Power of Attorney (5 pages)
- Application Data Sheet (3 pages)
- Notice of Priority
- Dep. Acct. Order Form
- Credit Card Payment Form for \$1,300.00
- Drawings (9 sheets)
- PCT Transmittal Letter
- PCT/IB/308
- Information Disclosure Statement
- Cited References (8)
- International Search Report
- PCT/IB/304
- PTO-1449
- Statement of Relevancy



SERIAL NO. \_\_\_\_\_

DATE RECEIVED \_\_\_\_\_

[COPY]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kohei KAWAMURA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/10484

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR FORMING FILM, METHOD FOR MANUFACTURING SEMICONDUCTOR DEVICE,  
SEMICONDUCTOR DEVICE AND SUBSTRATE TREATMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

COPY

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>285995US26PCT</b>		SERIAL NO. <b>New U.S. PCT Application Based on PCT/JP04/10484</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Kohei KAWAMURA, et al.</b>			
				FILING DATE <b>Herewith</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2001-135631	05/18/01	JP (with English abstract & equivalent of US2003/0025209)		NO	
	AP	11-154672	06/08/99	JP (with English abstract )		NO	
	AQ	2002-299314	10/11/02	JP (with English abstract & equivalent of US2003/0168008)		NO	
	AR	11-330075	11/30/99	JP (with English abstract )		NO	
	AS	10-144676	05/29/98	JP (with English abstract )		NO	
	AT	2002-329682	11/15/02	JP (with English abstract & equivalent of US2002/0157610)		NO	
	AU	08-083842	03/26/96	JP (with English abstract )		NO	
	AV	10-144675	05/29/98	JP (with English abstract )		NO	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							